

NICOLA FAITH SHARPE
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EDUCATION

YALE LAW SCHOOL, New Haven, CT • J.D., 2002

Activities: Yale Law Docket (newspaper), Publisher and Editor
Black Law Students Association, Executive Board

YALE SCHOOL OF MANAGEMENT, New Haven, CT • M.B.A. (Marketing Concentration), 2002

Activities: Procter & Gamble, Brand Management Program, 1 of 25 pre-MBA students selected

CORNELL UNIVERSITY, Ithaca, NY • B.A. with distinction (Government), 1998

Honors: Graduated with distinction in all subjects, Dean's List, Cornell Tradition Fellow

ACADEMIC APPOINTMENTS

UNIVERSITY OF ILLINOIS COLLEGE OF LAW, Champaign, IL, Aug. 2008 – Present

Professor of Law, and Director, Chicago Business Law Program, Aug. 2018 – Present

Professor of Law, and Director, Executive Education Planning, Aug. 2016 – Aug. 2017

Professor of Law, and Director, Chicago Business Law Program, Aug. 2013 – Aug. 2016

Associate Professor of Law and Richard W. & Marie L. Corman Scholar, Aug. 2011 – Jul. 2013

Assistant Professor of Law and Richard W. & Marie L. Corman Scholar, Aug. 2008 – Jul. 2011

Awards: Arnold O. Beckman Research Award, Campus Research Board Grant, 2011-2012

Courses: Business Associations; Antitrust; Corporate Governance: Board of Directors (seminar); Corporate Counsel; Ethics, Compliance and Professional Responsibility.

Committee Service: Lectures & Symposia Committee, Courtesy Appointments & VAP Committee, Facilities Committee, Admissions Committee, Student Awards Committee (Chair).

GEORGE WASHINGTON UNIVERSITY LAW SCHOOL, Washington, DC, Nov. 2010 – Oct. 2011 and Nov. 2012- Oct. 2013

Fellow, Center for Law, Economics, and Finance (“C-LEAF”)

Selected twice as 1 of 12 fellows from a pool of over 80 applicants.

NORTHWESTERN UNIVERSITY SCHOOL OF LAW, Chicago, IL, Aug. 2006 – July 2008

Visiting Assistant Professor of Law

Courses: Business Associations, Regulating Drugs: Antitrust and Consumer Welfare (seminar), Corporate Governance (seminar).

FLORIDA COASTAL SCHOOL OF LAW, Jacksonville, FL, Aug. 2005 – July 2006

Visiting Assistant Professor of Law

Courses: Business Associations, Evidence, Professional Responsibility.

Committee Service: Admissions Committee; Co-chair, Minority Recruitment Subcommittee.

PUBLICATIONS AND WORKS-IN-PROGRESS

COMPLIANCE, ETHICS, AND BUSINESS CONDUCT (Casebook) (Carolina Academic Press) (1st ed. 2020 Forthcoming).

Prioritizing Process: Developing a Culture of Corporate Compliance, 2018 UNIVERSITY OF ILLINOIS LAW REVIEW __ (2019) (Forthcoming).

Volkswagen's Bad Decisions & Harmful Emissions: How Poor Process Corrupted Codetermination in Germany's Dual Board Structure, 7 MICHIGAN BUSINESS & ENTREPRENEURIAL LAW REVIEW 49 (2017).

Link https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3348663 (12 downloads)

Informational Autonomy in the Boardroom, 2013 UNIVERSITY OF ILLINOIS LAW REVIEW 1087-1140 (2013) (symposium article).

Link: <http://www.illinoislawreview.org/article/informational-autonomy-in-the-boardroom/>

Cited in 11 law review articles and 1 case before the Court of Chancery of Delaware

Questioning Authority: The Critical Link Between Board Power and Process, 38 JOURNAL OF CORPORATION LAW 1 (lead article) (2012).

http://papers.ssrn.com/sol3/papers.cfm?abstract_id=2003010 (603 downloads)

Cited in 22 law review articles, 1 professional publication, and 1 treatise

Process Over Structure: An Organizational Behavior Approach to Improving Corporate Boards, 85 SOUTHERN CALIFORNIA LAW REVIEW 262 (2012).

Link: http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1944163 (604 downloads)

Cited in 20 law review articles, 2 professional journals, 1 investiture speech, and 1 treatise

The Cosmetic Independence of Corporate Boards, 34 SEATTLE UNIVERSITY LAW REVIEW 1435 (2011) (invited symposium).

Link: http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1856698 (310 downloads)

Cited in 17 law review articles, 1 business school journal, 1 professional journal, 1 working paper and 1 Appellate Brief submitted to the Supreme Court of Delaware

Rethinking Board Function in the Wake of the 2008 Financial Crisis, 5 MARYLAND JOURNAL OF BUSINESS AND TECHNOLOGY LAW 99 (2010) (invited symposium).

Link: http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1856723 (319 downloads)

Cited in 10 law review articles, 2 professional journals, 1 international conference, 2 work papers, and 2 treatises

Corporate Cooperation through Cost-Sharing, 16 MICHIGAN TELECOMMUNICATIONS AND TECHNOLOGY LAW REVIEW 109 (2009).

Link: http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1832948 (43 downloads)

Cited in 9 law review articles and 5 federal district court cases

Is Apple Playing Fair? Navigating the iPod Fairplay DRM Controversy, 5 NORTHWESTERN JOURNAL OF TECHNOLOGY & INTELLECTUAL PROPERTY 332 (2007) (invited essay) (with Olufunmilayo B. Arewa).

Link: http://papers.ssrn.com/sol3/papers.cfm?abstract_id=997159 (712 downloads)

Cited in 17 law review articles, 2 professional journals, 2 PhD dissertations, 2 PhD theses, 3 work papers, 1 conference paper, and 2 unpublished manuscripts

PRESENTATIONS & CONFERENCES

Presenter, *Boardrooms & Silos: Dodd-Frank's Risk Management Function*, Law and Society, Washington, DC, May 30-31, 2019 (forthcoming)

Presenter, *Prioritizing Process: Developing a Culture of Corporate Compliance*, Marquette University Law School, Milwaukee, WI, November 6, 2018

Judge, *Professional Responsibility Strategy Competition*, BP, Center for Professional Responsibility in Business and Society, University of Illinois Geis College of Business, October 9, 2018.

Panelist, *Business 101 Professions Panel*, University of Illinois Geis College of Business, September 21, 2018.

Panelist, *When the Levees Broke*, Law and Society, Toronto, ON, June 8, 2018

Presenter, *Prioritizing Process: How Poor Process Contributes to Compliance Failures*, Society of Corporate Compliance and Ethics, Chicago Regional Compliance & Ethics Conference, Chicago, IL, May 4, 2018

Organizer, *FCPA at 40: So What? Now What? Symposium*, University of Illinois College of Law and Geis College of Business, February 21, 2018 – February 22, 2018

Moderator/Commentator, *Academic Debrief and Discussion Session*, FCPA at 40: So What? Now What? Symposium, University of Illinois College of Law and Geis College of Business, February 22, 2018

Moderator/Commentator, *The Outsized Influence of the FCPA*, FCPA at 40: So What? Now What? Symposium, University of Illinois College of Law and Geis College of Business, February 21, 2018

Panelist, *Process, Policies, and Practice—Setting the Stage for FCPA Compliance*, FCPA at 40: So What? Now What? Symposium, University of Illinois College of Law and Geis College of Business, February 21, 2018

Presenter, *Prioritizing Process: Developing a Culture of Corporate Compliance*, University of Illinois College of Law 10-10-10 series, University of Illinois College of Law, December 7, 2017

Organizer and lecturer, *University of Illinois Ethics and Compliance Certificate Program*, January 24 to 26, Illini Center, Chicago, IL, January 2017

Bad Decisions & Harmful Emissions: How Volkswagen's Board Polluted the World, Lutie A. Lytle Black Women Law Faculty Writing Workshop, University of Iowa College of Law, Iowa City, IA, July 7, 2016.

Sponsor and roundtable participant in a workshop co-sponsored by the Federal Reserve Bank of Chicago, *Legal and Economic Theories of Liquidity, Collateral, and "Moneyiness."* Federal Reserve Bank of Chicago, Chicago, IL, June 8 to June 9, 2015.

Invited participant, Adolf A. Berle, Jr. Center on Corporations, Law & Society, Berle VI Symposium, Seattle University School of Law, Seattle, WA, June 23, 2014.

Informational Autonomy in the Boardroom, Center for Law, Economics, and Finance ("C-LEAF"), George Washington University Law School, Washington, DC, April 5, 2013. (1 of 12 projects selected from over 80 submissions; during the first four years of C-LEAF, I was the only person to have had two articles selected).

Informational Autonomy in the Boardroom, University of Wisconsin Law School Faculty Workshop, Madison, WI, October 18, 2012.

Informational Autonomy in the Boardroom, University of Illinois College of Law Junior Faculty Roundtable, forthcoming July 31, 2012.

Questioning Authority: The Critical Link Between Board Power and Process, Law and Society, Honolulu, HI, June 8, 2012.

Panel Participant, *Boards across Borders: Governance in Europe and the US and How the Role of the Board is Changing*, The Conference Board Business Ethics and Compliance Conference: Ethics and Compliance Management, The Illini Center Chicago, IL, May 16, 2012.

Questioning Authority: The Critical Link Between Board Power and Process, Duke Law School Culp Colloquium, Duke University School of Law, Durham, NC, May 10, 2012.

Director Non-Accountability, In the Boardroom Symposium, University of Illinois College of Law, Champaign, IL, March 9, 2012.

Questioning Authority: The Critical Link Between Board Power and Process, Panel on Corporate Fiduciary Duties, Social Responsibility and Governance, Section on Socio-Economics, AALS Annual Meeting, Washington, DC, January 5, 2012.

Invited Commentator, *The Government's Unofficial Stance on Compliance Departments*, by Michele DeStefano, Section on Business Associations, AALS Annual Meeting, Washington, DC, January 5, 2012.

Director Non-Accountability, Panel on Corporate Accountability in the Post Financial Crisis Era, Annual Meeting of the Society of Socio-Economists Washington, DC, January 4, 2012.

Questioning Authority: The Critical Link Between Board Power and Process, Junior Faculty Roundtable, Washington University in St. Louis School of Law, St. Louis, MO, September 23, 2011.

Process Over Structure: An Organizational Behavior Approach to Improving Corporate Boards, Faculty Workshop, Northwestern University School of Law, Chicago, IL, September 7, 2011.

Process Over Structure: An Organizational Behavior Approach to Improving Corporate Boards, Faculty Workshop, Washington University in St. Louis School of Law, St. Louis, MO, April 14, 2011.

Process Over Structure: An Organizational Behavior Approach to Improving Corporate Boards, Center for Law, Economics, and Finance ("C-LEAF"), George Washington University Law School, Washington, DC, *upcoming* April 1, 2011. (1 of 12 projects selected from over 80 submissions).

The Cosmetic Independence of Corporate Boards, Adolf A. Berle, Jr. Center on Corporations, Law & Society, Seattle University School of Law, Seattle, WA, January 22, 2011.

The Cosmetic Independence of Corporate Boards, Annual Meeting of the Society of Socio-Economists co-sponsored by the Law and Economics Center at Boalt Hall School of Law, Haas School of Business, University of California-Berkeley, Berkeley, CA, January 3, 2011.

Process Over Structure: An Organizational Behavior Approach to Improving Corporate Boards, Faculty Roundtable, University of Illinois College of Law, Champaign, IL, November 10, 2010.

Process Over Structure: An Organizational Behavior Approach to Improving Corporate Boards, Law and Society, Chicago, IL, May 28, 2010.

Process Over Structure: An Organizational Behavior Approach to Improving Corporate Boards, Junior Faculty Roundtable, Washington University in St. Louis School of Law, St. Louis, MO, May 7, 2010.

Process Over Structure: An Organizational Behavior Approach to Improving Corporate Boards, Faculty Workshop, University of Notre Dame Law School, South Bend, IN, April 30, 2010.

Process Over Structure: An Organizational Behavior Approach to Improving Corporate Boards, Faculty Workshop, Loyola University Chicago Law School, Chicago, IL, April 8, 2010.

Panelist, “*Working Toward the Hiring Conference in Interesting Times*,” Big Ten Aspiring Scholars Conference, University of Illinois College of Law, Champaign, IL, August 3, 2009.

Rethinking Board Function in the Wake of the 2008 Financial Crisis, Law and Society, Denver CO, May 28, 2009.

Respondent, *Frivolous Cases*, by Suja Thomas, University of Illinois College of Law Faculty Retreat, Champaign, IL, May 14, 2009.

Rethinking Board Function in the Wake of the 2008 Financial Crisis, Midwest People of Color Legal Scholarship Conference, University of Iowa College of Law, Iowa City, IA, May 8, 2009.

Panel Moderator, “*Movies vs. Reality: To What Extent Does the Hollywood View Reflect the Reality of Business?*” Law and Film Conference, University of Illinois College of Law, Champaign, IL, April 23, 2009.

Rethinking Board Function in the Wake of the 2008 Financial Crisis, Business Law Roundtable, University of Maryland School of Law, Baltimore, MD, April 17, 2009.

Corporate Cooperation through Cost-Sharing, Faculty Workshop, Indiana University Law School, Indianapolis, IN, April 2, 2009.

Corporate Cooperation through Cost-Sharing, Illinois-Kent Colloquium, University of Illinois College of Law, Champaign, IL, October 24, 2008.

Is Apple Playing Fair? Navigating the iPod Fairplay DRM Controversy, Midwest People of Color Legal Scholarship Conference, Washington University in St. Louis School of Law, St. Louis, MO, April 13, 2007.

OTHER PROFESSIONAL EMPLOYMENT

SHEARMAN & STERLING LLP, New York, NY, Summer 2000, October 2002 – May 2005

Associate, Antitrust Group

Represented and advised large corporations on litigation and transactional engagements. Negotiated with FTC and DOJ for approval of large mergers and acquisitions. Defended against antitrust claims in patent infringement lawsuits. Advised clients on and prepared Hart-Scott Rodino filings. Researched market definitions, entry conditions, and other economic factors to support the viability of mergers in various industries. Researched and drafted legal memoranda.

BAR ADMISSION

New York, inactive, (admitted 2003)